

WASHINGTON METROPOLITAN AREA TRANSIT COMMISSION

SILVER SPRING, MARYLAND

ORDER NO. 12,103

IN THE MATTER OF:

Served July 24, 2009

UNION, INC., Suspension and ) Case No. MP-2009-007  
Investigation of Revocation of )  
Certificate No. 1226 )

This matter is before the Commission on respondent's failure to respond to Order No. 12,025, served June 4, 2009, which gave respondent thirty days to show cause why the Commission should not assess a civil forfeiture against respondent, and/or revoke Certificate No. 1226.

**I. BACKGROUND**

Under the Compact, a WMATC carrier may not engage in transportation subject to the Compact if the carrier's certificate of authority is not "in force."<sup>1</sup> A certificate of authority is not valid unless the holder is in compliance with the Commission's insurance requirements.<sup>2</sup>

Commission Regulation No. 58 requires respondent to insure the revenue vehicles operated under Certificate No. 1226 for a minimum of \$1.5 million in combined-single-limit liability coverage and maintain on file with the Commission at all times proof of coverage in the form of a WMATC Certificate of Insurance and Policy Endorsement (WMATC Insurance Endorsement) for each policy comprising the minimum.

Certificate No. 1226 was rendered invalid on January 10, 2009, when the \$1.5 million primary WMATC Insurance Endorsement on file for respondent terminated without replacement. Order No. 11,792, served January 12, 2009, noted the automatic suspension of Certificate No. 1226 pursuant to Regulation No. 58-12, directed respondent to cease transporting passengers for hire under Certificate No. 1226, and gave respondent thirty days to replace the terminated endorsement and pay the \$50 late fee due under Regulation No. 67-03(c) or face revocation of Certificate No. 1226.

Respondent paid the late fee on February 11, 2009, and submitted a \$1.5 million primary WMATC Insurance Endorsement on February 25, 2009, but the effective date of the new endorsement is March 5, 2009, instead of January 10, 2009.

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<sup>1</sup> Compact, tit. II, art. XI, § 6(a).

<sup>2</sup> Compact, tit. II, art. XI, § 7(g).



Under Regulation No. 58-14:

If a carrier's operating authority is suspended under Regulation No. 58-12 and the effective date of a later-filed replacement Endorsement falls after the automatic suspension date, the carrier must verify timely cessation of operations in accordance with Commission Rule No. 28 and corroborate the verification with client statements and/or copies of pertinent business records, as directed by Commission order.

Order No. 11,936 gave respondent until May 10 to verify cessation of operations as of January 10. Inasmuch as respondent's only tariff is for service rendered to the general public, the order directed respondent to corroborate the verification with copies of its business records for the period beginning December 1, 2008, and ending April 10, 2009. Respondent did not respond.

Order No. 12,025 accordingly gave respondent thirty days to show cause why the Commission should not assess a civil forfeiture against respondent, and/or revoke Certificate No. 1226, for knowingly and willfully conducting operations under an invalid/suspended certificate of authority and failing to produce documents as directed. Respondent has yet to respond.

## **II. ASSESSMENT OF FORFEITURE AND REVOCATION OF AUTHORITY**

A person who knowingly and willfully violates a provision of the Compact, or a rule, regulation, requirement, or order issued under it, or a term or condition of a certificate shall be subject to a civil forfeiture of not more than \$1,000 for the first violation and not more than \$5,000 for any subsequent violation.<sup>3</sup>

The Commission may suspend or revoke all or part of any certificate of authority for willful failure to comply with a provision of the Compact, an order, rule, or regulation of the Commission, or a term, condition, or limitation of the certificate.<sup>4</sup>

The term "knowingly" means with perception of the underlying facts, not that such facts establish a violation.<sup>5</sup> The terms "willful" and "willfully" do not mean with evil purpose or criminal intent; rather, they describe conduct marked by intentional or careless disregard or plain indifference.<sup>6</sup>

Because respondent has failed to respond to Order Nos. 11,936 and 12,025 and has offered no explanation for this failure, we find

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<sup>3</sup> Compact, tit. II, art. XIII, § 6(f).

<sup>4</sup> Compact, tit. II, art. XI, § 10(c).

<sup>5</sup> *In re Metro Health-Tech Servs. Inc.*, No. MP-08-057, Order No. 11,588 (Sept. 24, 2008).

<sup>6</sup> *Id.*

that respondent has failed to show cause why the Commission should not assess a civil forfeiture of \$250<sup>7</sup> and revoke Certificate No. 1226.<sup>8</sup>

THEREFORE, IT IS ORDERED:

1. That pursuant to Article XIII, Section 6(f), of the Compact, the Commission hereby assesses a civil forfeiture against respondent in the amount of \$250 for knowingly and willfully violating Order Nos. 11,936 and 12,025.

2. That respondent is hereby directed to pay to the Commission within thirty days of the date of this order, by money order, certified check, or cashier's check, the sum of two hundred fifty dollars (\$250).

3. That pursuant to Article XI, Section 10(c), of the Compact, Certificate of Authority No. 1226 is hereby revoked for respondent's willful failure to comply with Order Nos. 11,936 and 12,025.

4. That within 30 days from the date of this order respondent shall:

- a. remove from respondent's vehicle(s) the identification placed thereon pursuant to Commission Regulation No. 61;
- b. file a notarized affidavit with the Commission verifying compliance with the preceding requirement; and
- c. surrender Certificate No. 1226 to the Commission.

BY DIRECTION OF THE COMMISSION; COMMISSIONERS CHRISTIE AND BRENNER:



William S. Morrow, Jr.  
Executive Director

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<sup>7</sup> See *In re Fon Pius Nde, t/a Piusmed World Transp.*, No. MP-07-187, Order No. 11,362 (May 15, 2008) (same).

<sup>8</sup> See *id* (same); see also *In re Best Choice Transp., Inc.*, No. MP-07-147, Order No. 11,477 (July 18, 2008) (revoking authority in part for failing to file acceptable verification and produce documents); *In re Marbec LLC, t/a Marbec Limo. Servs. LLC*, No. MP-06-052, Order No. 10,346 (Mar. 23, 2007) (same); *In re Gold Transp., Inc.*, No. MP-05-171, Order No. 9985 (Oct. 11, 2006) (same).